

Annex A

PROGRESS AGAINST ENTITY-SPECIFIC COMMITMENTS RELATED TO UN-WOMEN

MEMBER STATE COMMITMENTS

| Relevant Commitment | Relevant Funding Compact Indicators | System-wide Baselines & Targets from Funding Compact | UN-Women Progress 2020 ¹ |
|---|---|--|---|
| Aligning funding to entity requirements | | | |
| 1. Increase core resources for the UNDS | Core resources as share of voluntary funding for development related activities | Baseline (2017): 19.4% Target (2023): 30% | Core resources from member states to UN-Women as a share of voluntary funding ² : Baseline 2018: 48.5% (\$147.5 million/\$303.8 million) Progress 2019: 36% (\$140.6 million/\$390.4 million) Progress 2020: 39% (\$163.19 million/\$423.18 million) |
| | Core share of funding for development-related activities (including assessed contributions); | (2017): 27.0% | Core resources from member states including assessed contributions to UN-Women as a share of voluntary funding ³ : Baseline 2018: 49.8% (\$155.5 million/\$311.8 million) Progress 2019 37.6% (\$150.7 million/\$400.6 million) Progress 2020: 40% (\$172.88 million/\$432.09 million) |
| 2. Double share of non-core contributions provided through development related pooled & thematic funds | % of non-core resources for development related activities channeled through inter-agency pooled funds | Baseline (2017): 5% Target (2023): 10% | Inter-agency pooled funding as a share of development related non-core to UN-Women: Baseline 2018: 13.74% (\$32.3 million/\$235.3 million) Progress 2019: 14.2% (\$50.8 million/\$357.4 million) Progress 2020: 32% (\$119.8 million/\$373.8 million) |
| | % of non-core resources for development related activities channeled through single agency thematic funds | Baseline (2017): 3% Target (2023): 6% | Single agency thematic funding as a share of development related non-core to UN-Women: Baseline 2018: 7.09% (\$16.7million / \$235.3 million) Progress 2019: 11.52% (\$41.2 million/357.4 million) Progress 2020: 4.7% (\$17.7 million/\$373.2 million) |
| Providing stability | | | |

¹ Milestones may not be applicable to all indicators (e.g. 'yes/no' indicators will not have a milestone).

² For comparison purposes, figures have been re-calculated to reflect contributions from member states only.

³ For comparison purposes, figures have been re-calculated to reflect contributions from member states only.

| Relevant Commitment | Relevant Funding Compact Indicators | System-wide Baselines & Targets from Funding Compact | UN-Women Progress 2020 ¹ |
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| 3. Broaden the sources of funding support to the UNDS | Number of UNSDG entities reporting an annual increase in the number of contributors of core resources | Baseline (2017): 66% Target (2023): 100% | Number of member states contributing core resources to UN-Women ⁴ : Baseline 2018: 102 Progress 2019: 106 Progress 2020: 83 |
| | Number of Member State contributors to development related inter-agency pooled funds and single agency thematic funds | Baseline (2017): 59 and 27 Target (2023): 100 and 50 | Number of member states contributions to the UN trust fund to End Violence against Women and the Fund for Gender Equality: Baseline 2018: 13 Progress 2019: 13 Progress 2020: 14 |
| 4. Provide predictable funding to the specific requirements of UNSDG entities as articulated in their SPs and to the UNDAF funding needs at country level | Funding gaps in UNSDG strategic plan financing frameworks | Baseline (2019): <i>tbd</i> Target (2021): <i>tbd</i> | Based on the Integrated Budget 2018-2019, the UN-Women core and non-core resource funding gaps are: Baseline 2018: 25% core gap (\$149 million received of \$200 million planned); 2% non-core gap (\$235.3 million received of \$240 million planned) Progress 2019: 28.5% core gap (\$143 million received of \$200 million planned); 48.9% non-core surplus (\$357.5 million received of \$240 million planned) Progress 2020: 18.4% core gap (\$163.19 million received of \$200 million planned); 30.8% non-core surplus (\$373 million received of \$285 million planned) |
| | Fraction of UNDS entities indicating that at least 50% of their contributions are part of multi-year commitments | Baseline (2017): 48% Target (2023): 100% | Fraction of UN-Women contributions that were multi-year: ⁵ Baseline 2018: 54% of volume of core received was multiyear (\$80 million/\$149 million); 79% of volume of non-core was multi-year (\$242.1 million/\$306.4 million) Progress 2019: 54% of volume of core received was multiyear (\$76.8 million/\$143 million); 68% of volume of non-core received was multiyear (\$243 million/\$357 million) Progress 2020: 50% of volume of core received was multiyear (\$83 million/\$165.8 million); 56% of non-core received was multiyear (\$163.5/\$291.1 million) |

⁴ For comparison purposes, the 2018 and 2019 figure has been recalculated to include in-kind contributions in line with the 2020 figure.

⁵ Multi-year agreements are those with a lifetime of two years or more. These do not include amendments. Total commitment value for regular resources includes multi-year agreements signed with Member States, as well as pledges, and represents the total contributions received in a given year. For non-core, total value is the amount of an agreement (including pledges, framework arrangements, letters and agreements) in the year in which the agreement was signed.

| Relevant Commitment | Relevant Funding Compact Indicators | System-wide Baselines & Targets from Funding Compact | UN-Women Progress 2020 ¹ |
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| Facilitating coherence and efficiency | | | |
| 7. Fully comply with cost recovery rates as approved by respective governing bodies | Average # of cost recovery support fee waivers granted per UNDS entity per year | Baseline (2017): <i>tbc</i> Target (2019 onwards): 0 | Number of cost recovery waivers granted by UN-Women with approximate impact: Baseline 2018: 10 Progress 2019: UN-Women granted 1 exceptional case-by-case cost recovery waiver with a financial impact of \$1,359. In addition, 1 exceptional case-by-case waiver was issued prior to 2018 for activities on-going in 2019 with a financial impact of \$3,080. ⁶ Progress 2020: In 2020 UN Women approved three (3) cost recovery waivers with a total financial impact of \$0.025 million. |

UN DEVELOPMENT SYSTEM COMMITMENTS

| Relevant Commitment | Relevant Funding Compact Indicators | System-wide Baselines & Targets from Funding Compact | UN-Women Baselines (2018 or 2019) |
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| Accelerating results on the ground | | | |
| 1. Enhance cooperation for results at country level | Fraction of UNSDG entities reporting at least 15% of development related expenditures on joint activities | (2017) 9 entities on a total of 29 or 31% reporting at least 15% of development related expenditures on joint activities | Baseline 2019: To date, an agreed definition among the UNSDG of what constitutes 'joint activities' does not exist. This is acknowledged in the 2020 Secretary-General's Report on the Implementation of the QCPR (Addendum 1) , page 17, stating "Two entities pointed to methodological challenges: one, there is not yet an agreed definition of joint activities, and two, there is lack of an adequate tracking system, which is currently being established". Progress 2020: In response to UN-Women Board decision 2020/7 UN DCO is leading a discussion with UNDP, UNFPA, UNICEF and UN-Women on a common definition and methodology. |
| | % of RCs that state that at least 75% of CPDs are aligned to the UNDAF in their country | Baseline (2017): <i>tbd</i> Target (2023): 100% | |

⁶ In addition to these exceptional case-by-case cost recovery waivers there are existing framework agreements with multilateral funding where the agreed cost recovery rates are below the 8 percent rate. These framework agreements are those with legacy arrangements, such as with the European Commission, Joint Programmes, MPTF-administered Multi Donor Trust Funds and funds received from other UN agencies as applicable. The total 2019 impact of these framework agreements is \$1.081 million.

Progress 2020: 100% of Strategic Notes approved in 2020 were aligned with the respective UNSDCF.

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| <p>2. Increase collaboration on joint and independent system-wide evaluation products to improve UN support on the ground</p> | <p>% of UNSDG Evaluation Offices engaging in joint or Independent System Wide Evaluations (ISWE)</p> | <p>Baseline (2018): 29% (joint eval), 20% (ISWE) Target (2021): 75% (joint eval), 50% (ISWE)</p> | <p>Baseline 2018: 12% of all UN-Women evaluations in 2018 were joint evaluations. Progress 2019: 5 of 39 evaluations (13%) were undertaken with other UN entities. Progress 2020: 3 of 31 (10%) of evaluations were undertaken with other UN entities. UN-Women was an active member of the evaluation advisory group for a lessons learned and evaluability assessment of the UN COVID-19 Response and Recovery Multi-Partner Trust Fund.</p> |
| <p>Improving transparency and accountability</p> | | | |
| <p>6. Strengthen the clarity of entity specific SPs and IRRFs and their annual reporting on results against expenditures</p> | <p>% of UNSDG entities that in their respective governing bodies held structured dialogues in the past year on how to finance the development results agreed in the new SP cycle</p> | <p>Baseline (2017): 62% Target (2021): 100%</p> | <p>Yes - UN-Women holds a structured dialogue every year with its Executive Board, with a focus on funding its Strategic Plan.</p> |
| <p>7. Strengthen entity & system-wide transparency & reporting, linking resources to SDG results</p> | <p>% of UNDS entities individually submitting financial data to CEB</p> | <p>Baseline (2017): 69% Target (2021): 100%</p> | <p>Yes – UN-Women submits its financial data to CEB annually. UN-Women fully complies with all requirements to report against the UN Datacube Standards.</p> |
| | <p>% of UNDS entities publishing data as per the highest international transparency standards</p> | <p>Baseline (2017): 36% Target (2021): 100%</p> | <p>Yes – UN-Women reports to the IATI (date of first report was 2012).</p> |
| | <p>% of UNDS entities with ongoing activities at country level that report expenditures disaggregated by country to the CEB baseline</p> | <p>Baseline (2017): 46% Target (2021): 100%</p> | <p>Yes – UN-Women reports expenditures to the CEB disaggregated by geographic location, per UN Datacube Standard III.</p> |
| | <p>% of UNDS entities that report on expenditures disaggregated by SDG</p> | <p>Baseline (2017): 20% Target (2021): 100%</p> | <p>Yes – UN-Women reports expenditures to the CEB disaggregated by Sustainable Development Goal, per UN Datacube Standard V.</p> |

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| 9. Increase accessibility of corporate evaluations and internal audit reports within the disclosure provisions and policies set by governing bodies at the time of report issuance | % of UNDS entities authorized within disclosure provisions and policies who have made their corporate evaluations available on the UNEG website | Baseline (2018): 21% Target (2021): 100% | Yes – All UN-Women corporate evaluations are posted to the UNEG website. |
| | % of internal audit reports issued in line with the disclosure provisions and policies set by the relevant governing bodies, which are available on a dedicated searchable UN-RIAS platform/ website, pending availability of resources; | Baseline (2018): 0 Target: TBD | Baseline 2018: All 2018 UN-Women internal audit reports were published on the UN-Women website. The UN-RIAS platform/website is not yet available. Progress 2019: All 2019 UN-Women internal audit reports were published on the UN-Women website. As of December 2019 the United Nations Representatives of Internal Audit Services platform and website is not yet available. Progress 2020: All 2020 UN-Women internal audit reports were published on the UN-Women website. As of December 2020 the UN-RIAS platform/website was not yet available. |
| | % of inter-agency pooled funds posting evaluation reports on the UNEG website | Baseline (2018): 0% Target (2019): 100% | Baseline 2018: UN-Women initiated an inter-agency pooled fund in 2018 to conduct a joint evaluation the Common Chapter of Strategic Plans of UNDP, UNICEF, UNFPA, UN Women. Progress 2019: The joint evaluation of the implementation of the common chapter was conducted in 2019 and presented during the joint briefing to the Executive Boards in May 2020 ⁷ . The final report was not posted the UNEG website; however, the joint report on the evaluability assessment of the common chapter to the strategic plans of UNDP, UNFPA, UNICEF and UN-Women was finalized and is available on the UN-Women website. Progress 2020: UN-Women participated in 3 evaluations of joint programmes that were uploaded to the UNEG website. ⁸ |
| 10. Increase visibility of results from | Specific mention of voluntary core, pooled | Baseline (2018): <i>n/a</i> | Baseline 2018: Yes. Partners contributing voluntary core, pooled and trust funds to |

⁷ The assessment concluded that the common chapter is not evaluable; but in the implementation of the reform, evaluation offices will continue to conduct joint evaluations to assess the effectiveness and efficiency of the agencies' support to Member States for the achievement of SDGs.

⁸ The three joint programmes were funded by the Peacebuilding Fund: (1) Addressing Sexual Bribery Experienced by Female Heads of Households, including Military Widows and War Widows in Sri Lanka to Enable Resilience and Sustained Peace; (2) Promotion de la participation politique et leadership de la femme dans la consolidation de la paix en République Centrafricaine; Proyecto PBF/IRF194; and (3) Haciendo una realidad el efecto transformador de la sentencia de reparación de Sepur Zarco para romper el continuo de la violencia sexual y otras formas de violencia contra las mujeres en situaciones de conflicto y postconflicto del Fondo para la Consolidación de la Paz.

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| contributors of voluntary core resources, pooled & thematic funds and for program country contributions | and thematic fund contributors, and program country contributions in UNCT annual results reporting and entity specific country and global reporting | Target (2020): Yes | UN-Women are recognized in the annual report, funding compendium and UN Women website. Progress 2019: Yes. Partners contributing to UN-Women voluntary core resources, pooled and trust funds are recognized in the annual report, funding compendium, regular resources report and on the UN-Women website. UN-Women does not currently have thematic funds; in the process of developing these. Progress 2020: Yes. Partners contributing to UN-Women voluntary core resources, pooled and trust funds are recognized in the annual report, regular resources report and on the UN-Women website. UN-Women does not currently have thematic funds; in the process of developing these. |
| | Specific mention of individual contributors in all results reporting by pooled fund and thematic fund administrators and UNSDG recipients | Baseline (2018): <i>n/a</i> Target (2020): Yes | Baseline 2018: Yes. In the annual reports of the trust fund to End Violence Against Women, and the Fund for Gender Equality. Progress 2019: Yes. In the annual reports of the trust fund to End Violence Against Women, and the Fund for Gender Equality. Also, in Financial Reports where UN-Women receives pooled funding from donors. UN-Women does not currently have thematic funds. Progress 2020: Yes. In the annual reports of the trust fund to End Violence Against Women, and in Financial Reports where UN-Women receives pooled funding from donors. UN-Women does not currently have thematic funds. |
| Increasing efficiencies | | | |
| 11. Implement the SG's goals on operational consolidation for efficiency gains | % of UNSDG entities that report to their governing bodies on efficiency gains | Baseline (2017): 41% Target (2021): 100% | Yes – UN-Women reports regularly to its Executive Board on efficiency gains as part of its Annual Reporting. |
| | % of UNSDG entities that have signed the High-Level Framework on Mutual Recognition | Baseline (2018): 28% Target (2021): 100% | Yes – UN-Women signed the Framework in December 2018. |
| 12. Fully implement & report on approved cost-recovery policies and rates | % of UNSDG entities that report annually on the implementation of their approved cost recovery policies and rates to their respective governing body | Baseline (2017): 51% Target (2021): 100% | Yes – UN-Women reports annually to its Executive Board on implementation of its cost recovery policy and rate as part of the Annual Report of the Executive Director. |